Orange Center School District

Prequalification Application

NOTICE REGARDING PREQUALIFICATION FOR ORANGE CENTER SCHOOL DISTRICT

Notice is hereby given by Orange Center School District ("District") that contractors planning to submit a bid or proposal for the <u>Modernization at Orange Center Elementary School</u> project ("Project") will be required to prequalify pursuant to Public Contract Code § 20111.5 prior to bidding or submitting a proposal. Prequalification application packages are available on the District's website or at the District Office located at: 3530 S Cherry Ave, Fresno, CA 93706. Prequalification application packets must be completed and returned to the District Office no later than 3:00 p.m., December 5, 2024.

This prequalification packet includes a questionnaire and financial statement, to be verified under oath. The District will use the information and documentation required by the packet to prequalify general contractors in accordance with applicable law.

PREQUALIFICATION PROCEDURES

Questionnaire

All questions in the questionnaire must be answered. If a question is not applicable, then indicate a response of "N/A". "You" or "Yours" as used herein refers to the prospective bidders' firm and any of its owners, officers, principals and qualifying individuals. If two or more business entities submit a bid or proposal on a project as a Joint Venture, or expect to submit a bid or proposal as part of a Joint Venture, each entity within the Joint Venture must be separately prequalified.

Each questionnaire must be signed under penalty of perjury in the manner designated at the end of the form, by an individual who has the legal authority to bind the contractor on whose behalf that person is signing. If any information provided by a contractor becomes inaccurate, the contractor must immediately notify the District and provide updated accurate information in writing, under penalty of perjury. Each applicant shall have a duly authorized owner, officer or principal complete the questionnaire and verify the truth of the information provided therein and in the financial statement.

Financial Statement

In addition to completing the attached questionnaire, each applicant must submit its most current reviewed or audited year-end financial statement, which must have been prepared by a certified public accountant within twelve (12) months of each applicant's submission of the prequalification package. Each applicant must also provide its most current financial statement, which must have been prepared within three (3) months of each applicant's submission of the prequalification package. Finally, each prospective bidder must submit a notarized statement from an admitted surety insurer (approved by the California Department of Insurance and authorized to issue bonds in the State of California) which states the applicant's current bonding capacity.

Financial statements submitted with this prequalification package shall not be prepared by any individual who is in the regular employ of the firm submitting the statement, nor by any individual or entity who has more than a ten percent (10%) financial interest in the firm's business. If the individual or entity that prepared a financial statement submitted with this prequalification package has <u>any</u> financial interest in the firm's business, the firm shall notify the District of such financial interest in a separate signed statement accompanying this prequalification package.

Submission

Applicants are encouraged to submit prequalification packages as soon as possible, so that they may be notified of prequalification status well in advance of the bid process or any applicable proposal deadline. The prequalification packages should be sealed, marked "CONFIDENTIAL PREQUALIFICATION," and <u>mailed</u> or delivered to the following:

Orange Center School District Attention: Terry Hirschfield 3530 S Cherry Ave, Fresno, CA 93706

The questionnaire answers and financial statements included in the prequalification packages submitted by contractors are not public records and are not open to public inspection. All such information provided will be kept confidential to the extent permitted by law, although the contents may be disclosed to third parties for the purpose of verification, investigation of substantial allegations, and in the process of any subsequent proceedings. State law requires that the names of contractors applying for prequalification status shall be public records subject to disclosure, and the first page of the questionnaire will be used for that purpose.

Evaluation by the District

The District will evaluate the information provided and issue each submitting contractor to determine the size of the contracts upon which each bidder will be deemed qualified to bid, with a rating of "Prequalified", "Not Prequalified" or "Qualified Up to [DOLLAR VALUE]". The District's decision will be based on the information provided, references, and such additional outside information as the District in its discretion deems reasonable and necessary to obtain. The District may, but is not obligated to, investigate the truth of any statements or information provided by a prospective bidder in response hereto.

Appeal

A contractor who has timely submitted a completed application form, and who receives a rating of "Not Prequalified" or "Qualified Up to [DOLLAR VALUE]" from the District may appeal that determination. There is no appeal from a finding that a contractor is not prequalified because of a late application or a failure to submit required information. A contractor may appeal the District's decision with respect to its request for prequalification, by giving notice to the District no later than five (5) business days after receipt of notice of its qualification status. Notice shall be sent to the address listed above. Unless a contractor files a timely appeal, the contractor waives any and all rights to challenge the qualification decision of the District, whether by administrative process,

judicial process or any other legal process or proceeding. The District reserves the right to resolve appeals before or after the opening of bids or proposals or award of any contract. The date for submission and opening of bids or proposals for a specific project and any subsequent contract award will not be delayed or postponed to allow for completion of an appeal process.

Bids and Proposals

Bids and proposals will not be accepted from any contractor that did not timely submit a completed prequalification questionnaire and supporting documents, including financial statements, to the District. Omission of requested information, falsification of information, or failing to use the forms provided by the District may result in a finding of "not prequalified."

The District reserves the right to amend the prequalification packet at any time. The District reserves the right to waive minor irregularities and omissions in the information contained in the prequalification application submitted and to make all final determinations. The District may, in accordance with applicable law reject a prequalified contractor's bid or proposal, and the District may additionally reject all bids or proposals if it determines such action is in the best interest of the public.

PREQUALIFICATION APPLICATION

Part I: Contractor's General/Financial Information Sheet

| A. General II | njormation. | | |
|--------------------|--|-----------------------|--|
| Contractor's name | e as it appears on licer | ise: | |
| CIRCLE ONE: | Corporation | Partnership | Sole Proprietorship |
| Contact Person: | | | |
| Street Address (P. | O. Box is not acceptab | le): | |
| Telephone: () | | Fax: | |
| E-mail address: | | | |
| | construction license retor licenses held by ye | | ns and expiration dates of the |
| names of the quali | . , | ed on the CSLB record | ation or partnership, list below the ds who meet(s) the experience and |

[The remainder of this page is intentionally left blank]

| В. | History and Owne | rsnip of Firm. | | |
|---------------|---------------------------|--|--|--|
| 1. | • • | as your firm been in busi me and license number? | iness in California as a co | ontractor under your |
| 2. | Has there been any | change in ownership of | the firm at any time duri | ng the last three years? |
| | | ntion whose shares are producted the share of the share o | publicly traded is not re traded corporation". | equired to answer this |
| | ☐ Yes ☐ | No | | |
| | If yes, please provi | de details on a separate s | signed page. | |
| 3. | Is the firm a subsid | liary, parent, holding con | npany or affiliate of anot | her construction firm? |
| | NOTE: Include is another. | nformation about other | · firms if one firm owns | 50 percent or more of |
| | ☐ Yes ☐ | No | | |
| | If yes, please provi | de details on a separate s | signed page. | |
| 4. | | | of your firm operated of listed above at any time | or been connected to a in the last five years? |
| | ☐ Yes ☐ | No | | |
| | • • • | ride details, including th | - | uction firm name(s) and |
| 5. | Please provide the | information appropriate | to your firm below. | |
| <u>For Fi</u> | rms That Are Cor | porations: | | |
| Date in | ncorporated: | | | |
| Under | the laws of what sta | te: | | |
| (presid | | | on who is either (a) an of b) the owner of at least to | |
| Name | 2 | Position | Years with Company | % Ownership |
| | | | | |
| | | | | |

| NOTE: For this question, "owner" and "partner" refer to ownership of ten percent of the business, or 10 percent or more of its stock, if the business is a corporation. Dates of Person's Parwith Firm | general partner, lim | ited partner | or officer) at ar | y time during t | he last fi | • |
|---|--|----------------|---|--|------------------|--|
| Person's Name Construction Firm with Firm | | | | | | |
| Date of formation: Under the laws of what state: Provide all the following information for each partner who owns 10 percent or more of the Name | Person's Name | | Construction | Firm | | |
| Date of formation: Under the laws of what state: Provide all the following information for each partner who owns 10 percent or more of the Name | | | | | | |
| Date of formation: Under the laws of what state: Provide all the following information for each partner who owns 10 percent or more of the Name | | | | | | |
| Date of formation: Under the laws of what state: Provide all the following information for each partner who owns 10 percent or more of the Name | | | | | | |
| Date of formation: Under the laws of what state: Provide all the following information for each partner who owns 10 percent or more of the Name | For Firms That A | re Partners | hips: | | | |
| Under the laws of what state: Provide all the following information for each partner who owns 10 percent or more of the Name | TOT THIS THE TH | e i ui tiici g | • | | | |
| Provide all the following information for each partner who owns 10 percent or more of the Name Position Years with Company % Ownership Identify every construction company that any partner has been associated with (as owner, g partner, limited partner or officer) at any time during the last five years. NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | Date of formation: | | | | | |
| Provide all the following information for each partner who owns 10 percent or more of the Name Position Years with Company % Ownership Identify every construction company that any partner has been associated with (as owner, g partner, limited partner or officer) at any time during the last five years. NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | TT 1 .1 1 . C | 1 | | | | |
| Name Position Years with Company % Ownership Identify every construction company that any partner has been associated with (as owner, a partner, limited partner or officer) at any time during the last five years. NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | Under the laws of w | vhat state: _ | | | | |
| Name Position Years with Company % Ownership Identify every construction company that any partner has been associated with (as owner, a partner, limited partner or officer) at any time during the last five years. NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | Provide all the follo | wing inforn | nation for each | partner who ow | ns 10 pe | ercent or more of the |
| Identify every construction company that any partner has been associated with (as owner, g partner, limited partner or officer) at any time during the last five years. NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | | | | | | , |
| partner, limited partner or officer) at any time during the last five years. NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | Name | Positi | ion Y | ears with Comp | pany | % Ownership |
| partner, limited partner or officer) at any time during the last five years. NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | | | | | | |
| partner, limited partner or officer) at any time during the last five years. NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | | | | | | |
| partner, limited partner or officer) at any time during the last five years. NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | | | | | | |
| partner, limited partner or officer) at any time during the last five years. NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | | | | | | <u> </u> |
| NOTE: For this question, "owner" and "partner" refer to ownership of ten per cent of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | | | | | | |
| of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | | | | | | |
| of the business, or ten percent or more of its stock, if the business is a corporation. Dates of Person's Part | | | | | | |
| Dates of Person's Part | partner, limited part | tner or office | er) at any time of | luring the last f | ive years | S. |
| | partner, limited part NOTE: For this qu | tner or office | er) at any time o | luring the last f | ive years | s. hip of ten per cent o |
| Person's Name Construction Company with Company | partner, limited part NOTE: For this qu | tner or office | er) at any time o | luring the last f | ive years | s. hip of ten per cent o |
| | NOTE: For this quof the business, or | tner or office | er) at any time ovner" and "part or more of its | during the last for rtner" refer to stock, if the bu | owners usiness i | hip of ten per cent of is a corporation. ates of Person's Parti |
| | NOTE: For this quof the business, or | tner or office | er) at any time ovner" and "part or more of its | during the last for rtner" refer to stock, if the bu | owners usiness i | hip of ten per cent of is a corporation. ates of Person's Parti |
| | NOTE: For this quof the business, or | tner or office | er) at any time ovner" and "part or more of its | during the last for rtner" refer to stock, if the bu | owners usiness i | hip of ten per cent or is a corporation. ates of Person's Parti |

| Social security number of compa | ny owner: | | |
|--|------------------|---|------------------------------|
| Identify every construction firm partner, limited partner or officer | | | vith (as owner, general |
| NOTE: For this question, "ow of the business, or ten percent | | | |
| Person's Name | Construction | | Person's Participation npany |
| | | | |
| | | | |
| | | | |
| For Firms That Intend to Mak | e a Bid or Sul | omit a Proposal as Part of a | Joint Venture: |
| Date of commencement of joint | venture: | | |
| Provide all of the following inforexpects to submit a bid or propos | | | joint venture that |
| Name of firm | | % Ownership of Joint Ventu | re |
| | | | |
| | | | |
| | | | |
| On a separate sheet provide all or corporation, partnership or sole-partnership or sol | - | <u>-</u> | |
| • | ties, staff, equ | has your firm shared office spipment, telecommunications of and explain below.) | |
| Construction Firm | | Description of Sharing Agreement | Location of Facilities |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

| 7. | State your firm's gross revenues for each of the last three fiscal years: |
|----------------|---|
| | Current year: Previous year: Year prior to previous year: |
| 8. | State the number of projects your firm has completed in each of the last three fiscal years: |
| | Current year: Previous year: Year prior to previous year: |
| 9. | Has your firm changed names or license number in the past five years? |
| | ☐ Yes ☐ No |
| | If yes, explain on a separate signed page, including the reason for the change. |
| 10. | Does your firm regularly utilize a third party consultant to review labor compliance documents of subcontractors on a public works project? |
| | ☐ Yes ☐ No |
| C. | Bonding. |
| 11. | Name of bonding company/surety: |
| | Name of surety agent, address and telephone number: |
| Depart | attach a notarized statement from an admitted surety insurer (approved by the California tment of Insurance and authorized to issue bonds in the State of California) which states your t bonding capacity. |
| 12. the las | List all other sureties (name and full address) that have written bonds for your firm during t five years, including the dates during which each wrote the bonds: |
| | |
| | |
| | |
| | |

D. Financial Information.

13.

| of this prequalification package. Also, please provide your most current financial statement, which must have been prepared within three (3) months of submission of this prequalification package. | | |
|---|--|--|
| 14. | Name of accounting firm and primary contact: | |
| Addı | ress: | |
| Tele | phone: | |
| How | many years has this accounting firm prepared financial statements for you? | |
| 15. | Banking information: | |
| | Name of Bank: | |
| | Account Manager: | |
| | Address: | |
| | Telephone: | |
| | Account No.: | |
| | Line of Credit: | |
| | Amount in Use: | |
| | How Secured: | |
| | Expiration Date: | |
| | | |

Please attach your most current reviewed or audited year-end financial statement, which must have been prepared by a certified public accountant, within twelve (12) months of submission

(attach extra sheets for additional banks/accounts)

Recent Construction Projects Completed. Ε.

Please provide the information requested below about all current public works projects, all public works projects completed in the last 24 months, and all DSA-approved California K-12 public projects completed in the last three years. Only list projects your firm performed as the general contractor in charge of all trades for the construction of a building. Names and references must be current and verifiable.

| project: | | | | |
|---|--|--|--|--|
| Project Name: | | | | |
| Location: | | | | |
| Owner: | | | | |
| Owner Contact (name and current phone number): | | | | |
| Architect or Engineering firm: | | | | |
| Architect or Engineer Contact (name and current phone number): | | | | |
| Construction Manager (name and current phone number): | | | | |
| Inspector of Record (name and current phone #): | | | | |
| Description of Project, Scope of Work Performed: | | | | |
| Total Value of Construction (including change orders): | | | | |
| Date Construction Commenced: | | | | |
| Original Contractual Completion Deadline: | | | | |
| Adjusted Completion Deadline Based on Time Extensions Granted by Owner: | | | | |
| Actual Date of Completion: | | | | |

Use separate sheets of paper that contain all of the following information for each public works

F. Apprenticeship Program Information

| spons to req | de the name, address and telephone number of the apprenticeship program for(s) (approved by the California Apprenticeship Council) from whom you intenduest the dispatch of apprentices to your company for use on any public work projecthich you are awarded a contract the District. |
|-----------------|---|
| | |
| If you | ur firm operates its own State-approved apprenticeship program: |
| (a) | Identify the craft or crafts in which your firm provided apprenticeship training in the past year. |
| (b) | State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s of your apprenticeship program(s). |
| (c) | State the number of individuals who were employed by your firm as apprentice at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft |

PART II: Essential Requirements for Prequalification

| 1. | Do you possess | s a valid and current California contractor's license for the Project? |
|----|------------------------------|---|
| | ☐ Yes | □ No |
| 2. | | liability insurance policy with a policy limit of at least \$3,000,000 per \$5,000,000 aggregate? |
| | ☐ Yes | □ No |
| 3. | | urrent workers' compensation insurance policy as required by the Labor Code lly self-insured pursuant to Labor Code section 3700 <u>et seq</u> .? |
| | ☐ Yes | □ No |
| | Contractor | is exempt from this requirement, because it has no employees. |
| 4. | Has your firm subject to DSA | completed at least two California public school K-12 construction projects, approval? |
| | ☐ Yes | □ No |
| 5. | submit a bid or | rrently registered with the Department of Industrial Relations and qualified to proposal and to otherwise perform work on a public project pursuant to of the Labor Code? |
| | ☐ Yes | □ No |
| 6. | | ractor's license been revoked or suspended at any time in the last five later reinstated retroactively? |
| | ☐ Yes | □ No |
| 7. | | submitting this prequalification form, is your firm ineligible to bid on or be lic works contract, pursuant to either Labor Code section 1777.1 or Labor 777.7? |
| | ☐ Yes | □ No |

| | If yes, state the beginning and ending dates of your ineligibility to bid on or be awarded a public works contract: |
|-----|---|
| 8. | Is your firm currently the debtor in a bankruptcy or receivership case? |
| | ☐ Yes ☐ No |
| | If yes, please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed. |
| 9. | Has a surety firm completed a contract on your behalf, or paid for completion of a contract because your firm was terminated by the project owner within the last five (5) years? |
| | ☐ Yes ☐ No |
| 10. | Has your firm, or any of its owners, officers, or partners ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity? |
| | ☐ Yes ☐ No |
| | If yes, explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the investigation and the grounds for the finding. |
| 11. | Has your firm, or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction? |
| | ☐ Yes ☐ No |
| 12. | Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any act of dishonesty? |
| | ☐ Yes ☐ No |
| | If yes, identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct. |

Part III: Scored Questions

| A. | Financial History & Licensing |
|----|--|
| 1. | Was your firm in bankruptcy or receivership any time during the last five years? |
| | ☐ Yes ☐ No |
| | If yes, please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued. |
| 2. | Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years? |
| | ☐ Yes ☐ No |
| B. | Disputes |
| 3. | At any time in the last five years, have liquidated damages been assessed or levied against your firm under a construction contract with either a public or private owner? |
| | ☐ Yes ☐ No |
| | If yes, explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed or levied by the owner, amount of liquidated damages paid or credited by you to the owner, and all other information necessary to fully explain the assessment or levy of liquidated damages. |
| 4. | In the last five years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? |
| | NOTE: "Associated" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 4 on Part I of this form. |
| | ☐ Yes ☐ No |
| | If yes, explain on a separate signed page. State whether the firm involved was the firm applying for prequalification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action. |

| 3. | on a finding by a public agency that your company was not a responsible bidder? |
|----|---|
| | ☐ Yes ☐ No |
| | If yes, explain on a separate page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency. |
| | NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000. |
| 6. | In the past five years, has any claim by a project owner (including a complaint) against your firm concerning your firm's work on a construction project, been filed in court or been the subject of arbitration? |
| | ☐ Yes ☐ No |
| | If yes, on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature and amount of the claim (including a breakdown of the major elements of the claim), the court in which the case was filed, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution). |
| 7. | In the past five years, has your firm filed any claim (including a complaint) in court or arbitration against a project owner concerning work on a project or payment for a contract? |
| | ☐ Yes ☐ No |
| | If yes, on separate signed sheets of paper identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature and amount of the claim (including a breakdown of the major elements of the claim), the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution). |
| 8. | Has your firm had a contract for a public work of improvement in the last five years that was terminated for cause by a public entity, or terminated in whole or in part with or without your consent? Note: you need not answer "yes" if the public entity terminated the contract for convenience. |
| | ☐ Yes ☐ No |

If the answer is "Yes," for each such contract attach a separate sheet identifying the owner, your bonding company, the original contract value, the value of the work terminated and a brief explanation of the circumstances leading to the termination.

| 9. | At any time during the past five years, has any surety company made any payments on your firm's behalf to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private? Yes No |
|-----|--|
| | If "yes," explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved. |
| 10. | In the last three years has your firm held a public works contract on which more than three (3) stop payment notices were served against your firm. Yes No If "yes," explain on a separate signed page. |
| 11. | In the last 3 years have you had any Stop Payment Notice result in a claim against your Payment Bond? Yes No If "yes," explain on a separate signed page. |
| C. | Insurance and Bonding |
| 12. | In the last five years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm? |
| | ☐ Yes ☐ No |
| | If yes, how many instances? |
| 13. | If your firm was required to pay a premium of more than one percent for a performance and payment bond on any project(s) on which your firm worked at any time during the last five years, state the percentage that your firm was required to pay, identify the project, identify the owner, and identify the dates of the project. You may provide an explanation for a percentage rate higher than one percent, if you wish to do so. |
| | |

| 14. | company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required? | | | |
|-----|---|--|--|--|
| | ☐ Yes ☐ No | | | |
| | If yes, provide details on a separate signed sheet indicating the date when your firm was denied coverage and the name of the company or companies which denied coverage; and the period during which you had no surety bond in place. | | | |
| D. | Compliance with Law | | | |
| 15. | Has CAL OSHA cited and assessed penalties against your firm for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years? | | | |
| | Note: If you have filed an appeal of a citation and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it. | | | |
| | ☐ Yes ☐ No | | | |
| | If yes, attach a separate signed page describing each citation. | | | |
| 16. | Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years? | | | |
| | Note: If you have filed an appeal of a citation and the appropriate appeals Board has not yet ruled on your appeal, you need not include information about it. | | | |
| | ☐ Yes ☐ No | | | |
| | If yes, attach a separate signed page describing each citation. | | | |
| 17. | Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years? | | | |
| | NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation. | | | |
| | ☐ Yes ☐ No | | | |
| | If ves, attach a separate signed page describing each citation. | | | |

| 18. | How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project? | | | |
|-----|--|--|--|--|
| 19. | List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years: | | | |
| | NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier. | | | |
| | Current year: Previous year: Year prior to previous year: | | | |
| | If your EMR for any of these three years is or was 1.00 or higher, you may, if you wish, attach a letter of explanation. | | | |
| 20. | Within the last five years, has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance? | | | |
| | ☐ Yes ☐ No | | | |
| E. | Prevailing Wage and Apprenticeship Compliance Record | | | |
| 21. | Has there been any occasion during the last five years on which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the state's prevailing wage laws? | | | |
| | ☐ Yes ☐ No | | | |
| | NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor. | | | |
| | If yes, attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay. | | | |
| 22. | During the last five years, has there been any occasion on which your firm has been penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements? | | | |
| | ☐ Yes ☐ No | | | |
| | If yes, attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the | | | |

| | amount of back wages you were required to pay along with the amount of any penalty paid. | | | |
|-----|--|--|--|--|
| 23. | Other than as already described in response to any question above, have any other labor or environmental charges or penalties been assessed or levied against, or paid by, your firm or the owner of a project on which your firm was the contractor in the past five years? | | | |
| | ☐ Yes ☐ No | | | |
| 24. | At any time during the last five years, has your firm been found to have violated a provision of California apprenticeship laws or regulations, or the laws pertaining to use apprentices on public works? | | | |
| | ☐ Yes ☐ No | | | |
| | If "yes," provide the date(s) of such findings, and attach copies of the Department's final decision(s). | | | |
| | If yes, attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, and the public agency for which it was constructed. | | | |

PART IV: CERTIFICATION

Questionnaires submitted by corporations must be signed with the legal name of the corporation, followed by the name of the state of incorporation and by the signature and designation of the chairman of the board, president or any vice president, and then followed by a second signature by the secretary, assistant secretary, the chief financial officer or assistant treasurer. All persons signing must be authorized to bind the corporation in the matter. The name of each person signing shall also be typed or printed below the signature. Satisfactory evidence of the authority of each officer signing on behalf of a corporation shall be furnished.

Questionnaires submitted by partnerships must furnish the full name of all partners and must be signed in the partnership name by a general partner with authority to bind the partnership in such matters, followed by the signature and designation of the person signing. The name of the person signing shall also be typed or printed below the signature.

Each person signing below makes the following representations under penalty of perjury:

The submitter of the foregoing answers to the questionnaire has read the same and the matters stated therein are true of his or her own personal knowledge. This information is provided for the purpose of qualifying to bid on the Project, and any individual, company or other agency named herein is hereby authorized to supply the District with any information necessary to verify the prospective bidder's statements. By signing below, the submitter and the named contractor hereby grant permission to the District to contact any or all of the above listed persons or entities to confirm facts or otherwise investigate the above facts and issues.

The submitter understands that any statement which is proven to be false shall be grounds for immediate disqualification from bidding on the Project. The submitter whose signature appears below represents and warrants that he or she has authority to bind the named contractor.

I, the undersigned, certify and declare that I have read all the foregoing answers to this prequalification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

| Executed this | day of | , 20, at | · |
|---------------|------------------------|--|-----------------------|
| Signature | | | |
| Typed Name | | | |
| Contractor | (Add additional signat | ture pages as necessary to comply with t | he directions above.) |